*僅供職員填寫 For official use only	
經紀編號 A.E. Code	
帳戶號碼 Account No.	
開戶日期 Account Opening Date	
衍生產品 Derivatives Products	☐ Yes 是 ☐ No 否

恒富證券有限公司（「恒富證券」）中央編號：ADC021
Master Trademore Securities Limited (“MTSL”) CE No.: ADC021
香港上環德輔道中308號24樓2407室
Unit 2407, 24/F, 308 Central Des Voeux, 308-320 Des Voeux Road Central, Hong Kong
電 話 Tel : (852) 2541 0188 傳 真 Fax : (852) 2542 3936
網 址 Web : http://mtm.com.hk

客戶資料表格(個人/聯名帳戶)
Customer Information Statement (Individual/ Joint Account)

(如適用請加”✓” Please ”✓”as appropriate)

☐ 網上交易服務 Internet Trading Services (必須提供有效電郵地址) (Must provide valid e-mail address)	客戶種類 Customer Type	帳戶類別 Account Type
	☐ 個人 Individual ☐ 聯名 Joint	☐ 港股證券現金帳戶 HK Securities Cash Account ☐ 美股證券現金帳戶 US Securities Cash Account

第 1 部份 – 申請人個人資料 Section 1 – Applicant’s Personal Information

(註：聯名戶口每戶口持有人需分別填寫一份客戶資料表格)
(Note: For joint Account, each account holder should complete a separate Customer Information Statement)

中文姓名 Name (Chinese) ____________________ 英文姓名 Name (English) ____________________

性別 Gender ☐ 男 Male ☐ 女 Female 身份證/護照號碼 I.D./Passport No. __________ 簽發國家 Country of Issue ☐ 香港 HKSAR ☐ 中國 China ☐ 其他 Other __________

出生日期 Date of Birth __________ (dd/mm/yyyy) 出生地點 Place of Birth __________ 國籍 Nationality __________

手提電話 Mobile Phone ( ) __________ 住宅電話 Home Tel No. ( ) __________ 傳真號碼 Fax No. __________

住宅地址 Residential Address ____________________

就業情況 Employment Status ☐ 受僱 Employed ☐ 自僱 Self-employed ☐ 退休 Retired ☐ 學生 Student ☐ 其他 (請述明) Others (please specify)

僱主名稱 Name of Employer ____________________ 業務類型 Business Type __________

職位 Position __________ 服務年期 Year(s) of Service __________ 辦事處電話 Office Tel No. ( ) __________

公司地址 Business Address ____________________

電郵地址 E-mail Address ____________________

結單語言選擇 Language of the statement			結單送遞至 Statements to be sent to		
☐ 繁體中文 Traditional Chinese	☐ 英文 English	☐ 簡體中文 Simplified Chinese	☐ 電郵地址 E-mail Address	☐ 住宅地址 Residential Address	☐ 公司地址 Business Address

➤ 客戶確認使用電子交易服務及同意和了解協議書條款附錄3之內文及香港證監會持牌人操守準則第18條有關電子交易之條文。恒富證券將不會對因通訊設施之故障或傳送失靈，所造成傳送或收取資訊之延誤負責。
The Client undertakes electronic trading as the trading method and understand and agrees to be bounded by the terms and condition-Appendix 3 and the electronic regulation as stated in Section 18 of the Code of Conduct of the HKSFC. MTSL will not be responsible for any delays, technical errors, incorrect transmission, failure of any communication equipment or incomplete transmission, breakdown or the transmission malfunction of the communication facility.

第 2 部份 – 銀行帳戶記錄 Section 2 – Bank Account Record

客戶現授權恒富證券由即日起接納我/我們之電話/口頭通知，作為提款提示，自客戶之證券提取款項並開立以客戶為抬頭人之支票，存入客戶知會恒富證券之銀行帳戶如下。
The Client hereby authorizes MTSL to accept telephone/ verbal instruction for fund withdrawal from the Securities Account to the following designated bank account under client’s name with immediate effect.

貨幣 Currency	銀行名稱 Name of Bank	帳戶號碼 Account No.	帳戶持有人姓名 Name of Account Holder
☐ 港幣 HKD ☐ 人民幣 CNY ☐ 美元 USD			

➤ 銀行帳戶名稱必須與客戶名稱相同及只供存款用途 Bank account name must match with name of the Client and for fund deposit only

第 3 部份 – 申請人之財務概況、投資經驗及目標　Section 3 – Applicant's Financial Profile, Investment Experience and Objective

每年收入 (港幣) Annual Income (HK$)	資產淨值 (港幣) Total Net Value of Assets (HK$)	流動資產淨值 (港幣) Net Liquid Assets (HK$)	住宅業權 Ownership of Residence
☐ <120,000 ☐ 120,001 – 360,000 ☐ 360,001 – 600,000 ☐ 600,001 – 1,200,000 ☐ >1,200,000	☐ <500,000 ☐ 500,001 – 1,000,000 ☐ 1,000,001 – 5,000,000 ☐ 5,000,001 – 10,000,000 ☐ 10,000,001 – 50,000,000 ☐ >50,000,000	☐ <500,000 ☐ 500,001 – 1,000,000 ☐ >1,000,000	☐ 租用 Rented ☐ 自置 Owned ☐ 按揭 Mortgaged ☐ 與家人同住 Living with Family 物業估值 Property Estimated Value:

教育程度 Education Level	投資目標 Investment Objectives	投資經驗 Investment Experience			
		股票 Shares	牛熊證 CBBC	期權/衍生權證(窩輪) Options / Warrants	期貨 Futures
☐ 小學或以下 Primary or below ☐ 中學 Secondary ☐ 大專 College ☐ 大學或以上 University or above ☐ 其他 Others	☐ 投機 Speculation ☐ 對沖 Hedging ☐ 資本增值 Capital Gain ☐ 股息收入 Dividend Income ☐ 套戥 Arbitrage ☐ 其他 Others	☐ 沒有 No ☐ 少於一年 < 1 year ☐ 一至三年 1 – 3 years ☐ 三至五年 3 – 5 years ☐ 多於五年 > 5 years	☐ 沒有 No ☐ 少於一年 < 1 year ☐ 一至三年 1 – 3 years ☐ 三至五年 3 – 5 years ☐ 多於五年 > 5 years	☐ 沒有 No ☐ 少於一年 < 1 year ☐ 一至三年 1 – 3 years ☐ 三至五年 3 – 5 years ☐ 多於五年 > 5 years	☐ 沒有 No ☐ 少於一年 < 1 year ☐ 一至三年 1 – 3 years ☐ 三至五年 3 – 5 years ☐ 多於五年 > 5 years

根據證券及期貨事務監察委員會持牌人或註冊人操守準則第5.1A的要求，本公司在執行認識你的客戶程序時，需評估客戶對衍生工具的認識，並根據客戶對衍生工具的認識將客戶分類及索取有關資料(除第15段專業投資者操守準則外)。

Under paragraph 5.1A of the Code of Conduct for Persons Licensed by or Registered with the Securities and Futures Commission, MTSL should, as part of the know your client procedure, assess a client's knowledge of derivatives and characterize the client (other than professional investors for the purpose of paragraph 15 of the Code of Conduct) based on such knowledge and obtain the relevant information.

評估客戶對衍生產品的認識準則：
Criteria for Assessing a Client's Knowledge of Derivatives Products:

☐ 曾接受衍生產品的培訓或修讀相關課程
Undergone training or attended courses on derivative products

☐ 現時或過去與衍生產品有關的工作經驗
Current or previous work experience related to derivative products

☐ 曾買賣衍生產品的經驗(如在過去3年曾執行過5次或以上衍生產品的交易)
Prior trading experience in derivative products. (A client will be considered as having knowledge of derivatives if he has executed five or more transactions in any derivative product (whether traded on an exchange of not), within the past three years)

☐ 本人/ 我們確認已仔細閱讀及完全明白，由恒富證券提供，並以本人/ 我們所選擇之語言(英文或中文)的風險披露聲明書內每一項衍生工具產品所附帶的相關風險。本人/ 我們並願意承擔日後執行買賣衍生工具產品的風險。
I/ We acknowledge that I/ We have read and fully understood the details of the Risk Disclosure Statement related to risk on derivative products provided by MTSL in a language of my/ our choice (English or Chinese). I/ We am/ are willing to accept the risks associated with trading derivative products in any future transactions.

第4部份 – 美國《海外賬戶稅收合規法案》　Section 4 – Foreign Account Tax Compliance Act ("FATCA")

客戶是否美國聯邦所得稅所定義的特定美國人、美國公民、美國永久居民(含綠卡持有人)或持有美國國藉？
Is the Client a United States ("US") Person, Resident of US (Including Green Card Holder) or having US nationality?

☐ 否 No
☐ 是，請述明 Yes, please specify:

客戶的出生地是否美國？
Was the Client born in US?

☐ 否 No
☐ 是，請述明 Yes, please specify:

客戶是否在最近1年在美國居留過超過31個公曆日或在最近一個稅務年度前3年內居留美國超過183個公曆日？
Was the Client have presence in US over 31 days in the current calendar year or 183 days out of the 3 years period prior to the current tax year?

☐ 否 No
☐ 是，請述明 Yes, please specify:

客戶是否擁有美國電話號碼(如住宅、工作、行動、傳真號碼)？
Does the Client have any US telephone number (e.g. home, work, mobile, fax number)?

☐ 否 No
☐ 是，請述明 Yes, please specify:

客戶是否擁有美國地址(如居住地址、郵寄地址、郵政信箱)？ Does the Client have any US address (e.g. residential address, mailing address, P.O. box)?
☐ 否 No ☐ 是，請述明 Yes, please specify:
客戶會否向恒富證券發出向某美國銀行帳戶轉帳款項的常設授權指示？ Does the Client give standing instruction to MTSL to pay amounts to an account maintained in the US?
☐ 否 No ☐ 是，請述明 Yes, please specify:

如第4部份之任何答案為"是"，請填寫W-9表格，否則請填寫W-8BEN表格。

If any of the answers in section 4 is "Yes", please fill in Form W-9. Otherwise, please fill in Form W-8BEN

第5部份 – 防止洗黑錢/ 恐怖份子籌資 Section 5 – Anti-Money Laundering and Counter-Terrorist Financing
客戶是否擔任或曾擔任重要公職之政界人士(包括國家元首、政府首長、資深從政者、高級政府官員、司法或軍事官員、國有企業高級行政人員及重要政黨幹事)，或是以上人士之家人(包括配偶、伴侶、子女或父母，或該名個人的子女的配偶或伴侶)及其他關係密切的人？ Is the Client linked to politically exposed persons? (Politically exposed person – individual who is or has been entrusted with prominent public function e.g. head of state/ government, senior politician, senior executive of government -owned corporation, important political party official, etc.), or the closed members of the aforesaid person (including spouse, companion, children or parents, or spouse or companion of the children) and other closely connected person?
☐ 否 No ☐ 是，請述明 Yes, please specify:
客戶(包括聯名帳戶各持有人及合夥帳戶之各合夥人)的業務性質/ 職業是否特別容易蒙受較高的洗錢/ 恐怖分子資金籌集風險或接觸大量現金往來(如貨幣兌換、博彩業、珠寶業、娛樂服務業)？ Is the nature of the Client (including any of the joint account holders and partners of a partnership firm)'s business particularly susceptible to money laundering/ terrorist financing risk? (For example, money changer or casino business that handles large amount of cash)
☐ 否 No ☐ 是，請述明 Yes, please specify:

第6部份 – 客戶個人資料之使用及轉移 Section 6 – Use and Provide Client's Personal Data to Another Person
客戶是否已經閱讀及理解《個人資料收集聲明》，並同意個人資料被使用及/ 或轉移予集團其他成員公司用於直接促銷目的？ The Client has read and understood the <Personal Data Collection Statement> and agrees that his personal data can be used by MTSL and / or to be provided to other Group members for direct marketing purpose? ☐ 同意 Yes ☐ 不同意 No

第7部份 – 其他披露 Section 7 – Other Disclosures		
閣下是否為任何根據證券及期貨條例註冊持牌法團或銀行業例之註冊機構之僱員(不管閣下現時是否為證監會持牌代表/ 金管局註冊人士)？如是，請提供僱主之書面同意書。 Are you an employee of a licensed corporation under the Securities and Futures Ordinance, or a registered institution under the Banking Ordinance (whether you are currently a SFC licensed representative/ HKMA registered person or not)? If yes, please provide employer's consent letter. ☐ 否 No		
☐ 是 Yes 中央編號 CE No. (Employer 僱主)	中央編號 CE No. (Employee 僱員)	
閣下與恒富證券職員/ 董事是否有親戚關係？ Do you have any relationship with any employee/ director of MTSL? ☐ 否 No		
☐ 是 Yes 僱員/ 董事 姓名 Name of Employee/ Director	關係 Relationship	
閣下的配偶是否恒富證券的保證金客戶？ Is your spouse a margin client of MTSL? ☐ 否 No		
☐ 是 Yes 帳戶號碼 Account No.	帳戶名稱 Account Name	
閣下是否戶口最終權益擁有人？ Are you the ultimate beneficial owner of the account?	☐ 是 Yes	☐ 否，請列明以下資料 No, Please specify below
姓名 Name	身份證/ 護照/ 公司註冊號碼 ID/ Passport/ Incorporation No.	地址 Address

第8部份 – 客戶確認及協議 Section 8 – Client's Acknowledgement and Agreement
1. 本開戶表的資料均屬真實及正確。除非恒富證券收到任何客戶資料改變的書面通知，恒富證券完全可以依靠這些資料及陳述作任何用途。客戶授權恒富證券可在任何時間聯絡任何人，包括客戶的銀行、經紀等或任何信貸機構，籍以確定及查證本開戶表內的資料。客戶可隨時要求恒富證券提供個人資料收集聲明副本。 The information contained in this Account Opening Form is true and accurate. MTSL is entitled to rely fully on such information and representations for all

purposes, unless MTSL receives notice in writing of any change. MTSL is authorized at any time to contact anyone, including the Client's banks, brokers or any credit agency, for the purpose of verifying the information provided on this Account Opening Form. A copy of MTSL's Personal Information Collection Statement is available upon request.

2. 客戶已閱讀過及明白提供的恒富證券客戶協議書條款而本文件乃該協議書的一部份。所有由客戶與或透過恒富證券進行之交易均受協議書條款文限制。客戶現申請開立上述類別的帳戶，並同意受可不時被修改或補充的該協議書條款包括其標準條款及細則及有關的附表所約束。客戶確認恒富證券已按照客戶選擇的語言(中文或英文)獲提供之風險披露聲明書，及已獲邀閱讀該風險披露聲明書、提出問題及徵求獨立的意見(如客戶有此意願)。
The Client has read and understood the provisions of the attached current version of the Client Agreement of MTSL, of which this document forms part as the same many be updated from time to time. All transactions to be concluded by the Client with or through MTSL shall be subject to the terms and conditions of the Agreement. MTSL hereby applies to open the above type(s) of account and agree to be bound by the Agreement including its Standard Terms and Conditions and relevant Schedule(S) as the same may be amended or supplemented from time to time. The Client acknowledges and confirms that MTSL has provided the Risk Disclosure Statements annexed hereto in a language of client's choice (English or Chinese) and the Client has been invited to read the Risk Disclosure Statements, to ask questions and take independent advice if the Client wishes.

3. 客戶明白並同意接受及遵守客戶協議書內之個人資料收集聲明。
The Client understands and agrees to accept and be bound by the Personal Information Collection Statement of the Agreement.

4. 除已有說明外，本開戶表所指名的申請人是帳戶及交易的最終實益持有人和最終發出交易指示的人。
Unless otherwise specify, the Account Holder named in this Account Opening Form is the ultimate beneficial owner and is the person who will give instructions of the Account and transaction.

5. 客戶明白客戶提交此開戶表予恒富證券接納此開戶表並非表示已經同意為客戶開立相關帳戶，恒富證券保留無條件謝絕客戶開戶申請之權利；如果客戶的申請被拒絕，客戶明白、同意並接受客戶之任何開戶申請文件將不予退回。
The Client understands and agrees application maybe rejected for reasons which are unrelated to the Client and the Client's application and neither MTSL nor MTSL's agent shall, in the absence of fraud, negligence or willful default, be liable to the Client or any other person in consequence of such rejection and all application documents will not be returned.

6. 客戶同意恒富證券於銷售過程中參考客戶於恒富證券的戶口資料，以便恒富證券為客戶提供相關投資產品/ 服務及/ 或投資相連保險資料。
The Client provides consent for MTSL to make reference to the account information given MTSL during the sales process in order to provide the Client with information in relevant investment product/ service and/ or investment linked insurance.

7. 有關於本開戶表第3部 – 客戶對衍生產品的認識，客戶完全明白恒富證券將基於該部提供之資料以評估客戶是否具有衍生及/ 或結構性產品的基本認識，與及客戶於日後可能需要再次更新及提供該部，以作重新評估。客戶完全明白倘若該部提供之資料不真實、完全及正確，恒富證券將無法評估客戶要求的服務及/ 或產品之適合性。客戶謹此確認及聲明所有於該部提供之資料均屬真實、完全及正確，並承諾於該部提供之資料有變時立即通知恒富證券。
In connection to Section 3 – Client's Knowledge of Derivative Products, the Client fully understands that MTSL will rely on the information provided in Section 2 for assessing whether the Client has general knowledge of the derivative and/ or structured products and the Client may be required to complete this section again in future for re-assessment. The Client fully understands that MTSL will be unable to assess client's suitability of the requested services and/ or products if the Client fails to provide accurate, true and complete information in this section. The Client hereby confirms and declares that all the information provided in this section is true, complete and accurate and undertake to inform MTSL any changes of the information provided in this questionnaire at once.

8. 客戶同意遵守聯交所、香港證券結算有限公司、或其他監管組織對於在前述股票交易所及結算系統或任何其他股票交易所或結算系統上市的證券的買賣作出並不時修訂的監管規則及規例。
The Client agrees to abide by the Rules and Regulations of the Exchange, Hong Kong Securities Clearing Company Limited, or any regulatory bodies from time to time governing the purchase and sale of securities quoted on the aforementioned stock exchanges and clearing system or any other stock exchanges or clearing systems.

9. 除非恒富證券另以書面表示，否則本開戶表、協議書條款及其他開戶文件將一起構成為所有客戶與本公司預期之事宜的全部協議及諒解。本開戶表所用之詞語與客戶協議所定義具相同釋義。
Unless otherwise indicated in writing by MTSL, the Account Application form, the Client Agreement and other account opening documents and supplements as required from time to time shall together constitute the entire agreement and understanding between the Client and MTSL in respect of the matters contemplated under the documents. Terms defined in the terms and condition have the same meanings when used in this account application (save where otherwise expressly provided in this account application).

10. 客戶亦明白風險披露文件可能未申述所有上市衍生產品的風險，客戶在買賣上市衍生產品前將自行作出評估及確定有足夠的淨資產來承擔風險和潛在的損失。
The Client further acknowledges that the Risk Disclosure document may not cover all risks of listed derivative products and the Client shall make his own assessment and ensure that he has sufficient net worth to assume the risks and bear the potential losses before trading in listed derivative products.

11. 客戶承認於成交單據或綜合日結單內顯示的價格，由恒富證券可能在其認為合適的情況下，就客戶於同一天內購買或售賣(視乎情況而定)的所有同一種類證券，以每個單位的平均價格或每個成交單位的價格來顯示於成交單據或綜合日結單內。客戶收到成交單據或綜合日結單後，應加以核對，如客戶欲以以上另一價格方式顯示於成交單據或綜合日結單，請盡快通知恒富證券。如恒富證券未於成交單據或綜合日結單中規定的特定期限內接獲客戶的書面異議，則客戶應被視為已接受該成交單據或綜合日結單所載的編排。
The Client acknowledges that the price quoted in the contract note or daily statement to be provided by MTSL may be, at its sole discretion, the average price of the total purchase or sale (as the case may be) or the price per unit for each transaction of securities in the case that there is more than one purchase or sale transaction (as the case may be) of the securities in the account during the same day. The Client shall upon receipt of the contract note or daily statement examine the same and promptly notify MTSL in writing if the Client wants such prices to be quoted. Otherwise, if MTSL does not receive any written objection from the Client within the period stipulated in the contract note or daily statement, the Client shall be deemed to have accepted the presentation of such contract note or daily statement.

客戶簽署 Client Signature ( )	客戶姓名 Name ( )
☜	日期 Date

聯名帳戶適用 For Joint Account Only	此帳戶可根據以下書面指示方式操作 (請在適當空格加“✓”)： The Account can be operated under the written instruction of (please “✓”the appropriate box): ☐ 任何一位帳戶持有人的指示 Either one of the account holder ☐ 兩位帳戶持有人的指示 Both account holders ☐ ______位帳戶持有人的指示 ______account holders

以下簽署人*特此核證客戶簽署本開戶表格及見證客戶的有關身份證明文件。
The undersigned *hereby certifies the signing of this Account Opening Form by the Client and the witness of the identification proof of the Client.

見證人簽名及核證 Signed and Certified by	見證人姓名 Name of Witness
X	日期 Date
所屬專業/ 職銜/ 中央編號 Profession/ Title/ CE No.	聯絡電話 Contact No.
聯絡地址 Contact Address	

* 若客戶/ 聯名客戶並非在恒富證券之指定僱員面前簽立本開戶表格或本開戶表格並非連同恰當的支票+一併遞交，則以下部分應由指定人士，包括其他證監會持牌人或註冊人、太平紳士或專業人士例如銀行分行經理、執業會計師、律師或公證人，簽署驗證。而該指定人士需提供其已簽署之身份證明文件副本及專業資格證明文件之副本。
If this Account Opening Form is not executed in front of MTSL's SFC licensed employee or is not submitted with an appropriate cheque +, a specified person, including any SFC licensed or registered person, a justice of Peace, a Branch Manager of a bank, Certified Public Accountant or Notary Public, should be required to sign below. The specified person should provide us with self-certified ID copy and copy of the professional qualification documents.

\+ 客戶須遞交在香港的持牌銀行開立的帳戶並由客戶所簽發(該簽名須與此開戶申請表上的客戶簽名相符)並載有客戶在其身份證明文件上所顯示的姓名的劃線支票，而該支票抬頭人須為"恒富證券有限公司"及其數額不得少於10,000港元(或恒富證券通知客戶的其他數額)。客戶被批核的新帳戶必須待支票兌現後才可使用。
A crossed cheque for such purpose shall bear name shown in client's identity document and drawn on client's account with a licensed bank in Hong Kong with same signature(s) as shown on this Form in favour of "Master Trademore Securities Limited" for not less than HKD10,000 (or such other amount as may be advised by MTSL). The approved new account will not be activated until the cheque is cleared.

恒富證券有限公司的持牌代表人之聲明
Declaration by a licensed representative of Master Trademore Securities Limited

本人，以註冊人身份，確認本人已按照上述客戶所選擇的語言(英文或中文)，向客戶提供風險披露聲明，並已邀請客戶閱讀該風險披露聲明，提出問題及徵詢獨立意見(如客戶有此意願)。
I, a registered person, declare that I have provided the above Client with the risk disclosure statements in a language of the Client's choice (English or Chinese) and invited the Client to read the risk disclosure statements, ask questions and take independent advice if the Client wish.

持牌代表簽署 Licensed representative's signature	持牌代表姓名及(中央編號) Name of Licensed representative's and (CE No.)
	( )
X	日期 Date

經由恒富證券有限公司核准及接納
Approved and Accepted by Master Trademore Securities Limited

授權簽署 Authorized Signature	授權人姓名 Name of Authorized Person
X	日期 Date

個人／聯名帳戶開戶核對表（內部使用） Individual/ Joint account opening checklist (internal use)			
帳戶號碼 Account Number		帳戶名稱 Account Name	
部門 Department		經紀姓名/ 經紀編號 A.E. Name/ A.E. Code	( )

特定美國人 Specified U.S. Person	☐ 是 Yes	W-9表格 Form W-9	☐ 否 No	W-8BEN表格 Form W-8BEN	

佣金 Commission (港幣 HKD)		額度 Limit (港幣 HKD)	
證券 Securities	網上 Internet %, 最低收費 min $	交易額度 Trading limit	信貸額度(保證金帳戶適用) Credit limit (Margin AC only)
	電話 Terminal %, 最低收費 min $	經紀佣金 AE Rebate:	利率 Interest : P + %

文件清單 Document Checklist	
開戶文件 Account Opening Document	☐ 已簽署之開戶表格 Signed copy of Account Opening Form ☐ 自我證明表格﹣個人(聯名帳戶各填寫一份) Self-Certification Form (CRS)﹣Individual (For joint account holders, complete a separate form for each individual account holder) ☐ W-8BEN表格 W-8BEN Form ☐ 客戶常設授權(保證金客戶適用) Client Standing Authority (Applicable to Margin Account only) ☐ 持牌人士開戶同意書 Licensed Person Consent Letter ☐ 第三方操作授權書 3rd party Authorization Application
身份證明文件 Identity Document	☐ 香港身份證或中國內地身份證及往來港澳通行証或護照副本 HKID Card or PRC ID and Travel Doc. or Passport Copy ☐ 住宅地址證明 Residential Address Proof (Issued within the last 3 months) ☐ 通訊地址證明 Correspondence Address Proof (Issued within the last 3 months) ☐ 香港銀行戶口 Hong Kong - Bank Account Number ☐ 美國國籍棄籍證明及其他國籍證明文件（如適用）Certificate of loss of nationality of U.S. and proof of other nationality (if applicable) ☐ 客戶提交由客戶名下於香港註冊銀行開設的賬戶所發出不少於 HK$10,000 的支票（如適用）Initial deposit (cheque in the amount not less than HK$10,000 bearing the Client's name as shown in HKID Card issued by the Client and drawn on the Client's account with a licensed bank in Hong Kong) (if applicable)

Prepared by	Checked by	Approved by	Input by	Checked by